



FORM PTO-1449
(REV. 7-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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SERIAL NO.: 09/701,450

LIST OF PRIOR ART CITED BY APPLICANT

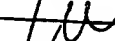
(Use several sheets if necessary)

APPLICANT(S): Wolfgang FLEISCHER, et al.

FILING DATE: Nov. 27, 2000

GROUP: 1615

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS														
*EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	5	1	1	4	9	2	8	5/19/925	Gajdos et al.	514	25	06/19/00	
	AB	5	4	5	6	9	2	3	10/10/95	Nakamichi et al.	424	489	11/15/93	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
		YES	NO											
RECEIVED 01.12.5.2002	AC	9	4	2	8	8	7	6	27 Dec 94	WO ✓	A61K	9/127		
	AD	9	4	1	4	4	9	0	7 Jul 94	WO ✓	A61M	15/00	Abstract	
	AE	9	3	2	4	1	6	5	1 Dec 88	WO ✓	A61K	9/50		
	AF	9	6	1	4	0	8	3	17 May 96	WO ✓	A61K	38/44	Abstract	
	AG	9	9	6	0	9	9	8	2 Dec 99	WO ✓	A61K	9/127		
	AH	0	2	6	0	2	4	1	16 Mar 88	EP ✓	A61K	9/20		
	AI	0	4	0	4	0	2	8	09 Jan 91	EP ✓	A61M	15/00		
	AJ	9	9	6	1	0	0	3	2 Dec 99	WO ✓	A61K	9/20		X
	AK	0	0	7	2	8	2	3	7 Dec 00	WO ✓	A61K	9/127		
	AL	2	2	0	4	4	1	3	1990/08	JP ✓	A61K	31/415	Abstract	
	AM	63	1	2	6	8	2	0	1998/05	JP ✓	A61K	9/10	Abstract	
	OTHER PRIOR ART (excluding A, B, C, D, E, F, G, H, I, J, K, L, M, N, O, P, Q, R, S, T, U, V, W, X, Y, Z, aa, ab, ac, ad, ae, af, ag, ah, ai, aj, ak, al, am, an, ao, ap, aq, ar, as, at, au, av, aw, ax, ay, az, ba, bb, bc, bd, be, bf, bg, bh, bi, bj, bk, bl, bm, bn, bo, bp, bq, br, bs, bt, bu, bv, bw, bx, by, bz, ca, cb, cc, cd, ce, cf, cg, ch, ci, cj, ck, cl, cm, cn, co, cp, cq, cr, cs, ct, cu, cv, cw, cx, cy, cz, da, db, dc, dd, de, df, dg, dh, di, dj, dk, dl, dm, dn, do, dp, dq, dr, ds, dt, du, dv, dw, dx, dy, dz, ea, eb, ec, ed, ee, ef, eg, eh, ei, ej, ek, el, em, en, eo, ep, eq, er, es, et, eu, ev, ew, ex, ey, ez, fa, fb, fc, fd, fe, ff, fg, fh, fi, fj, fk, fl, fm, fn, fo, fp, fq, fr, fs, ft, fu, fv, fw, fx, fy, fz, ga, gb, gc, gd, ge, gf, gg, gh, gi, gj, gk, gl, gm, gn, go, gp, gq, gr, gs, gt, gu, gv, gw, gx, gy, gz, ha, hb, hc, hd, he, hf, hg, hh, hi, hj, hk, hl, hm, hn, ho, hp, hq, hr, hs, ht, hu, hv, hw, hx, hy, hz, ia, ib, ic, id, ie, if, ig, ih, ii, ij, ik, il, im, in, io, ip, iq, ir, is, it, iu, iv, iw, ix, iy, iz, ja, jb, jc, jd, je, jf, jg, jh, ji, jj, jk, jl, jm, jn, jo, jp, jq, jr, js, jt, ju, jv, jw, jx, jy, jz, ka, kb, kc, kd, ke, kf, kg, kh, ki, kj, kk, kl, km, kn, ko, kp, kq, kr, ks, kt, ku, kv, kw, kx, ky, kz, la, lb, lc, ld, le, lf, lg, lh, li, lj, lk, ll, lm, ln, lo, lp, lq, lr, ls, lt, lu, lv, lw, lx, ly, lz, ma, mb, mc, md, me, mf, mg, mh, mi, mj, mk, ml, mm, mn, mo, mp, mq, mr, ms, mt, mu, mv, mw, mx, my, mz, na, nb, nc, nd, ne, nf, ng, nh, ni, nj, nk, nl, nm, nn, no, np, nq, nr, ns, nt, nu, nv, nw, nx, ny, nz, oa, ob, oc, od, oe, of, og, oh, oi, oj, ok, ol, om, on, oo, op, oq, or, os, ot, ou, ov, ow, ox, oy, oz, pa, pb, pc, pd, pe, pf, pg, ph, pi, pj, pk, pl, pm, pn, po, pp, pq, pr, ps, pt, pu, pv, pw, px, py, pz, qa, qb, qc, qd, qe, qf, qg, qh, qi, qj, qk, ql, qm, qn, qo, qp, qq, qr, qs, qt, qu, qv, qw, qx, qy, qz, ra, rb, rc, rd, re, rf, rg, rh, ri, rj, rk, rl, rm, rn, ro, rp, rq, rr, rs, rt, ru, rv, rw, rx, ry, rz, sa, sb, sc, sd, se, sf, sg, sh, si, sj, sk, sl, sm, sn, so, sp, sq, sr, ss, st, su, sv, sw, sx, sy, sz, ta, tb, tc, td, te, tf, tg, th, ti, tj, tk, tl, tm, tn, to, tp, tq, tr, ts, tt, tu, tv, tw, tx, ty, tz, ua, ub, uc, ud, ue, uf, ug, uh, ui, uj, uk, ul, um, un, uo, up, uq, ur, us, ut, uu, uv, uw, ux, uy, uz, va, vb, vc, vd, ve, vf, vg, vh, vi, vj, vk, vl, vm, vn, vo, vp, vq, vr, vs, vt, vu, vv, vw, vx, vy, vz, wa, wb, wc, wd, we, wf, wg, wh, wi, wj, wk, wl, wm, wn, wo, wp, wq, wr, ws, wt, wu, wv, ww, wx, wy, wz, xa, xb, xc, xd, xe, xf, xg, xh, xi, xj, xk, xl, xm, xn, xo, xp, xq, xr, xs, xt, xu, xv, xw, xx, xy, xz, ya, yb, yc, yd, ye, yf, yg, yh, yi, yj, yk, yl, ym, yn, yo, yp, yq, yr, ys, yt, yu, yv, yw, yx, yy, yz, za, zb, zc, zd, ze, zf, zg, zh, zi, zj, zk, zl, zm, zn, zo, zp, zq, zr, zs, zt, zu, zv, zw, zx, zy, zz													

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AN	Jenny Liautard, et al. "Encapsulation of Drugs Into Large Unilamellar Liposomes Prepared by an Extemporaneous Method," J. Microencapsulation, 1991, Vol. 8, No. 3, 381-89.
AO	Abstract JP 22 04413 and Jp 63-126 820 (English)
AP	P. Würzler, et al., "Virucidal and Chlamydical Activities of Povidone-Iodine (PVP-I) Liposomes," Clin Microbial Inf 5(suppl 3) 136 (1990) 9 th European Conference of Clin Microbiology and Infectious Diseases, Berlin, March 99
AQ	Bernhard Müllinger, et al., "Coated Drug Droplets Allow Individual Dosimetry," Respiratory Drug Delivery VI, Hilton Head, S.C. May 3-7, 1998, p. 385-387.
AR	James F. Fitzgerald, et al., "Novel Coating For Improved Pulmonary Drug Delivery," U. Of Florida Office of Graduate Research, Technology and Education, VF#1887, Jan. 15, 1998 (ABSTRACT)
AS	David A. Edwards, et al., "Large Porous Particles for Pulmonary Drug Delivery," Science, Vol. 276, 20 June 1997, p. 1868-1871.
AT	H. Schreier, et al., "Formulation and in-vitro performance of liposome powder aerosols," S.T.P. Pharma Sciences 4(1) 38-44, 1994.
AU	Hans Schreier, et al., "Pulmonary delivery of liposomes," Journal of Controlled Release, 24 (1993) 209-223

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO. 11390-005-999	APPLICATION NO 09/701,450
	APPLICANT Fleischer and Reimer	
	FILING DATE November 27, 2000	GROUP 1615

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ln	A01	4,704,838 4704383	11/03/1987	McNamara et al.	—	—	
ln	A02	5,006,343	04/9/1991	Benson et al.	—	—	
ln	A03	5,942,245	08/24/1999	Katinger et al.	—	—	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
ln	B01	CA 1 323 568	10/26/1993	Canada	—	—		
	B02	CA 2 204 493 A1	05/17/1996	Canada				
	B03	CA 2 332 389 A1	05/27/1999	Canada				
	B04	CA 2 215 716	07/12/1999	Canada				
	B05	EP 0 267 050 A2	5/11/1988	EPO				
	B06	EP 1 013 269	06/28/200	EPO				
	B07	WO 87/07502	12/17/1987	PCT				
	B08	WO 88/01862	03/24/1988	PCT				
	B09	WO 91/16882	11/14/1991	PCT				
	B10	WO 92/13873	08/20/1992	PCT				
	B11	WO 96/19199	06/27/1996	PCT				
	B12	WO 96/22764	08/01/1996	PCT				
	B13	WO 96/35435	11/14/1996	PCT				
	B14	WO 98/05340	02/12/1998	PCT				
ln	B15	JP 02-204413	08/14/1990	Japan (include English abstract)	—	—	X	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

ln	C01	<u>Aerols in Medicine</u> , 1993, pp. 72-83 and 118-156
ln	C02	Osaka Pref. Hospital Pharmacist Association, "Drug Directory", 1995, Povidone Iodine, translation of pertinent part
ln	C03	Bonowitz et al., 2001, "Comparative therapeutic and toxic effects of different povidone iodine (PVP-I) formulations in a model of oral candidosis based on in vitro reconstituted epithelium," J. Drug Targeting 9:75-83